

Ph.D. Seminar in Comparative Politics

SIS 802, Fall 2016
School of International Service
American University

COURSE INFORMATION

Professor: Matthew M. Taylor

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Classes will be held on Tuesdays, 2:35-5:15pm

Office hours: Wednesdays (11:30pm-3:30pm) and by appointment. In the case of appointments, please email me at least two days in advance to schedule.

Office: SIS 350

COURSE DESCRIPTION

Comparative political science is one of the four traditional subfields of political science. It differs from international relations in its focus on individual countries and regions, and its comparison across units – national, subnational, actors, and substantive themes. Yet it is vital to scholars of international relations, not least because of its ability to explain differences in the basic postures of national and subnational actors, as well as in its focus on key variables of interest to international relations, such as democratization, the organization of state decision-making, and state capacity. Both subfields have benefited historically from considerable methodological and theoretical cross-fertilization which has shaped the study of international affairs significantly.

The first section of the course focuses on the epistemology of comparative political science, seeking to understand how we know what we know, the accumulation of knowledge, and the objectivity of the social sciences. The remainder of the course addresses substantive debates in the field, although students are encouraged to critically address the theoretical and methodological approaches that are used to explore these substantive issues.

COURSE OBJECTIVES

This course will introduce students to the field, analyzing many of the essential components of comparative political science: themes, debates, and concepts, as well as different theoretical and methodological approaches. The course is designed for Ph.D. students who are preparing to take comprehensive exams at the School of International Service. By the end of the semester, students should be able to critically describe the main theoretical and methodological veins of comparative political science. They should also be conversant with the main substantive debates in the field, and be prepared to undertake basic tasks of research design.

LEARNING OUTCOMES

By the end of this course, students should be able to:

1. Utilize and demonstrate familiarity with common tools of comparative analysis;
2. Critique different theoretical traditions and empirical orientations in comparative politics;
3. Demonstrate the skills needed to identify topics worthy of original research and situate them within the extant scholarly literature;
4. Evaluate concepts critically, and develop practical operationalization of conceptual measures;
5. Successfully develop a plan of study for the Ph.D. comprehensive examination.

COURSE REQUIREMENTS

1. *Informed participation (15% of final grade)*: Both the quality of the course and your ability to do well on assignments depend on understanding the texts and on critical reflection of class discussion. You are expected to take notes on the main themes of the reading, so that they can be discussed in class, and referenced in your position papers. It goes without saying that a lack of participation will redound in a low participation grade.
 - a. Needless to say at the doctoral level, but worth saying once: texting, emailing, use of social media, etc., are considered inappropriate behaviors in a professional setting and will be heavily penalized. I discourage the use of computers and other electronic instruments during our seminars, except in exceptional cases (to be approved by me prior to class).
 - b. Also worth saying: the success of the course relies entirely on student involvement. Students are expected to critically explore the readings planned here, which are designed to cover core theories and questions of comparative political science. But further reading and discussion outside of class is heavily encouraged.
2. *Intriguing questions and devastating critiques (20% of final grade)*: At least five times during the semester, students will be asked to bring in a single sheet of paper containing two intriguing questions that arose in the course of preparing for the day's class, as well as a single sharp criticism of one of the main academic readings for that day's class. Each IQDC assignment should address more than one distinct reading. You are welcome to criticize the substance, empirical evidence, or methodological approach the authors use; you might also raise questions about their assumptions or the ethical foundations of their arguments. The key to these assignments is concision: while I will not be as strict as Twitter about word counts, you are encouraged to keep each question and your critiques as brief and sharp as possible. You will be graded on the substance of your questions and the depth of your critique; do not let brevity undermine your creativity. I reserve the right to assign further IQDCs over the course of the semester if I sense that students are not engaging the readings in sufficient depth.
3. *Position Papers (40%)*: These short papers (3-5 pages, double-spaced) are used to encourage students to critically appraise of recent classes' themes, to point to potential drawbacks and shortcomings in the approaches developed in the

literature, and to consider how the various readings dialogue with each other. Do not let the brevity of the page limit fool you: these essays are best built up from a longer outline, so as to ensure that the few pages you do turn in are as concise, well-written, and insightful as possible.

4. *Final exam (25%)*: The exam will be similar in format to the position papers, requesting critical appraisal of key themes and discussions presented over the course of the semester. The exam will be timed, and will be designed to replicate the conditions under of an actual comprehensive examination.

Assignment deadlines (please bring printed assignments to class on due date):

- IQDC 1: due in class 2
- IQDC 2: due in class 5
- IQDC 3: due in class 7
- IQDC 4: due in class 10
- IQDC 5: due in class 12
- IQDC 6: due in class 13
- Position paper 1: assignment handed out in class 1; due class 3.
- Position paper 2: assignment handed out class 4; due class 6.
- Position paper 3: assignment handed out class 7; due class 9.
- Position paper 4: assignment handed out class 11; due class 14.
- Final exam: due on registrar's exam date.

ACADEMIC INTEGRITY

Standards of academic conduct are set forth in the University's Academic Integrity Code. By registering, you have acknowledged your awareness of the Academic Integrity Code, and you are obliged to become familiar with your rights and responsibilities as defined by the Code. Violations of the Academic Integrity Code will not be treated lightly, and disciplinary actions will be taken should such violations occur. Please see me if you have any questions about the academic violations described in the Code in general or as they relate to particular requirements for this course.

APPROPRIATE CLASS BEHAVIOR

Class attendance is mandatory, and all absences must be explained and documented ahead of time, preferably well in advance to avoid the unfortunate docking of class participation points. Cell phones must be turned off during class. Laptops and other electronic devices are not allowed except with explicit prior permission from the professor. Social networking, texting, or instant messaging are all unacceptable behaviors and will adversely affect your grade. I take these rules very seriously, given my strong belief that these behaviors are deeply damaging to the classroom experience.

STANDARDS FOR PERFORMANCE

Grades will be assigned by merit, according to the following scale:

- A: Excellent. Student shows clear mastery of the material; has exceeded the basic course requirements; shows insight, innovation, and creativity that go beyond basic assimilation of the course material.
- B: Well prepared. Student has completed the basic course requirements, shows understanding of the material and is prepared to contribute knowledgeably.
- C: Prepared. Student may have done the reading, shows basic mastery of the material, and can contribute at a basic level, but may show little organization of key arguments or understanding of how key concepts interact.
- D: Poor. Student has not completed the basic course requirements, is unable to discuss the material cogently, fails to posit arguments in a clear and knowledgeable fashion.

Grades in this course will be commensurate with your performance. Please do not expect that your presence alone will justify a passing participation grade. Responsibility for any email or document glitches will be the student's. To the extent permitted by the University calendar, late papers will be accepted with up to three days' delay. However, each 24 hours of delay beyond the due date will imply a reduction of the maximum possible grade by one-third letter grade (i.e., an A paper that is one day late will receive an A-, an A- will receive a B+, etc.). You should seek help throughout the semester when you have questions, fail to submit an assignment, fail to attend class, or receive an unsatisfactory grade.

EMERGENCY PREPAREDNESS FOR DISRUPTION OF CLASSES

In the event of an emergency, American University will implement a plan for meeting the needs of all members of the university community. Should the university be required to close for a period of time, we are committed to ensuring that all aspects of our educational programs will be delivered to our students. These may include altering and extending the duration of the traditional term schedule to complete essential instruction in the traditional format and/or use of distance instructional methods. Specific strategies will vary from class to class, depending on the format of the course and the timing of the emergency. Faculty will communicate class-specific information to students via AU e-mail and Blackboard, while students must inform their faculty immediately of any absence. Students are responsible for checking their AU e-mail regularly and keeping themselves informed of emergencies. In the event of an emergency, students should refer to the AU Student Portal, the AU Web site (www.prepared.american.edu) and the AU information line at (202) 885-1100 for general university-wide information, as well as contact their faculty and/or respective dean's office for course and school/ college-specific information.

BOOKS

The following books are recommended for purchase:

1. Lichbach, Mark Irving, and Alan S. Zuckerman. 2009. *Comparative Politics: Rationality, Culture and Structure* (2nd Edition). [verify that you are purchasing the second edition]
2. Coppedge, Michael. 2012. *Democratization and Research Methods*. Cambridge: Cambridge University Press.
3. Lijphart, Arend. 1999. *Patterns of Democracy: Government Forms and Performance in Thirty-Six Countries*. New Haven, CT: Yale University Press.

All other readings are available via course reserves on Blackboard or via the library catalog.

CLASS SCHEDULE (BY WEEK)

1. August 30: What is comparative politics, and why is it done?
2. September 6: Inference, concepts, and cases
3. September 13: Measurement and method
4. September 20: The state
5. September 27: Culture
6. October 4: Collective action and mobilization
7. October 11: Institutions
8. October 18: Political regimes
9. October 25: Civil society and social capital
10. November 1: Formal democratic institutions
11. November 8: Informal democratic institutions
12. November 15: Political economy of development, inequality, and welfare
- November 22: NO CLASS, THANKSGIVING BREAK
13. November 29: Bureaucracy and state capacity
14. December 6: Summary and review

CLASS READING ASSIGNMENTS

1. Comparative Politics: What is it, and why is it done?

- Lichbach, “Thinking and Working in the Midst of Things: Discovery, Explanation, and Evidence in Comparative Politics,” Chapter 2 in L&Z.
- Geddes, Barbara. 2003. *Paradigms and Sand Castles*. Ann Arbor, MI: University of Michigan Press, Chapter 1.
- Laitin, David. 2003. “Comparative Politics: The State of the Subdiscipline.” In Ira Katznelson and Helen Milner, eds. *Political Science: The State of the Discipline*. New York: WW Norton: 630-59.
- Blyth, Mark “Great Punctuations: Prediction, Randomness, and the Evolution of Comparative Political Science,” *American Political Science Review* 100, 4 (November 2006): 493-498.
- Lichbach, Mark. 1997. “Social Theory and Comparative Politics,” Chapter 9 of Lichbach and Zuckerman, First Edition.

Recommended:

- Lichbach and Zuckerman, “Paradigms and Pragmatism,” Chapter 1 in L&Z.
- Mahoney, James, “Debating the State of Comparative Politics: Views from Qualitative Research,” *Comparative Political Studies* 40, 1 (January 2007): 32-38.
- Munck, Gerardo L. and Richard Snyder, “Debating the Direction of Comparative Politics: An Analysis of the Leading Journals,” *Comparative Political Studies* 40, 1 (January 2007): 5-31.
- Newton, Kenneth and Jan W. van Deth. 2010. *Foundations of Comparative Politics* 2nd Edition. New York: Cambridge University Press, Chapter 1.
- Zuckerman, “Advancing Explanation in Comparative Politics: Social Mechanisms, Endogenous Processes, and Empirical Rigor,” Chapter 3 in L&Z.

2. Inference, concepts, and cases

- Przeworski, Adam and H. Teune. 1970. *The Logic of Comparative Social Inquiry*. R.E.Kreiger, Chapter 1 (and Chapter 2 recommended).
- Sartori, Giovanni. “Comparing and Miscomparing,” in David Collier and John Gerring, eds. *Concepts and Methods in Social Science* (Routledge, 2009).
- Lijphart, Arend. “Comparative Politics and the Comparative Method,” *American Political Science Review* 65 (1971), 682-693.
- Gerring, John. 2007. “The Case Study: What it is and What it Does.” In Carles Boix and Susan C. Stokes, eds. *The Oxford Handbook of Comparative Politics*. New York: Oxford University Press: 90-122.
- Mahoney, James. “Strategies of Causal Assessment in Comparative Historical Analysis” in James Mahoney and Dietrich Rueschemeyer, eds., *Comparative Historical Analysis in the Social Sciences* (Cambridge University Press, 2003).

Recommended:

- Bennett, Andrew and Alexander L. George, *Case Studies and Theory Development in the Social Sciences* (Massachusetts: MIT Press, 2005).
- Sartori, Giovanni. “Concept Misformation in Comparative Politics,” *American Political Science Review* 64 (1970), 1033-1053.
- Collier, David and James Mahoney, “Insights and Pitfalls: Selection Bias in Qualitative Research,” *World Politics*, 49, (October, 1996): 56-91.

- Collier, David, James Mahoney and Jason Seawright. 2004. 'Claiming too much: Warnings about selection bias.' In *Rethinking Social Inquiry: Diverse Tools, Shared Standards*. Ed. Henry E. Brady and David Collier. Lanham, MD: Rowman and Littlefield.
- Katznelson, Ira. "Strong Theory, Complex History: Structure and Configuration in Comparative Politics Revisited," Chapter 4 in Lichbach and Zuckerman (Cambridge, 2009).
- Mahoney, James. "Strategies of Causal Assessment in Comparative Historical Analysis" in James Mahoney and Dietrich Rueschemeyer, eds., *Comparative Historical Analysis in the Social Sciences* (Cambridge University Press, 2003).
- Healy, Kieran. "F*ck Nuance," at <http://kieranhealy.org/files/papers/fuck-nuance.pdf>

3. Measurement and method

- Coppedge, Michael. 2012. *Democratization and Research Methods*. Cambridge: Cambridge University Press, Chapters 1-3.
- Hendrix, Cullen. 2010. "Measuring State Capacity: Theoretical and Empirical Implications for the Study of Civil Conflict." *Journal of Peace Research* 47 (3):273-85.
- Kaufmann, Daniel, Aart Kraay, and Massimo Mastruzzi. 2006. "Measuring Corruption: Myths and Realities." Available at <http://www1.worldbank.org/publicsector/anticorrupt/corecourse2007/Myths.pdf>

Recommended:

- Hogstrom, John. 2013. "Does the Choice of Democracy Measure Matter?" *Government and Opposition* 48:202-21.
- Kurtz, Marcus and Andrew Schrank. "Growth and Governance: Models, Measures, and Mechanisms." *Journal of Politics* 69:2 (May 2007).
- Kaufmann, Daniel, Aart Kraay, and Massimo Mastruzzi. 2007. "Growth and Governance: A Reply." *Journal of Politics* 69:2 (May 2007).

4. The state: origins and conceptualization

- Migdal, Joel, "Researching the State," Chapter 7 in L&Z.
- Skocpol, "Introduction," in Evans, Peter, Dietrich Rueschemeyer and Theda Skocpol, eds. 1985. *Bringing the State Back In*. Cambridge: Cambridge University Press, pages 3-43.
- Tilly, Charles. 1992. *Coercion, Capital, and European States AD 990-1992*. Malden, MA: Blackwell, Chapter 1 (Chapter 3 also recommended).
- Krasner, Stephen. "Approaches to the State: Alternative Conceptions and Historical Dynamics," *Comparative Politics* (January 1984): 223-246.
- Levi, Margaret. 1981. "The Predatory Theory of Rule." *Politics & Society*. 10: 431-65

Recommended:

- Bates, Robert H. 2009. *When Things Fall Apart: State Failure in Late-Century Africa*. Cambridge: Cambridge University Press.
- Centeno, Miguel Angel. "Blood and Debt: War and Taxation in Nineteenth-Century Latin America." *American Journal of Sociology* 102, no. 6 (1997): 1565-605.
- Herbst, Jeffrey. "War and the State in Africa." *International Security* 14, no. 4 (1990): 117-39.
- Levi, Margaret. 1988. *Of Rule and Revenue*. Berkeley: University of California Press.
- North, Douglass C., and Barry Weingast. 1989. "Constitutions and Commitment: The Evolution of Institutions Governing Public Choice in 17th Century England." *Journal of*

- Economic History* 69 (4):803-32.
- Olson, Mancur. 1982. *The Rise and Decline of Nations*. New Haven: Yale University Press.
 - Skowronek, Stephen. "The New State and American Political Development." Chapter 1 in *Building a New American State: The Expansion of National Administrative Capacities 1877–1920*. Cambridge University Press, 1982.
 - Stepan, Alfred. "Liberal-Pluralist, Classic Marxist, and "Organic-Statist" Approaches to the State," in *Arguing Comparative Politics*, pp. 39-72.
 - Tilly, Charles. 1985. "State Making as Organized Crime." In *Bringing the State Back In*. Eds., Evans, Rueschemeyer and Skocpol. New York: Cambridge University Press.
 - Vu, Tuong. 2010. "Studying the State through State Formation," *World Politics* 62, 1 (January): 148-75.

5. Culture

- Ross, Marc Howard. "Culture in Comparative Political Analysis," Chapter 6 in L&Z.
- Posner, Daniel. 2004. "The Political Salience of Cultural Differences: Why Chewas and Tumbukas are Allies in Zambia and Adversaries in Malawi." *American Political Science Review* 98:529-46.
- Seligson, Mitchell. A. 2002. 'The renaissance of political culture or the renaissance of the ecological fallacy?' *Comparative Politics*. 34 (3): 273.
- Inglehart, Ronald, and Marita Carballo. "Does Latin America Exist? (And Is There a Confucian Culture?)." *PS: Political Science and Politics* 30, no. 1 (1997): 34–47.
- Geertz, skim Chapter 1 and read Chapter 15
 - Geertz, Clifford. 1973. "Thick Description: Toward an Interpretive Theory of Culture" In *The Interpretation of Cultures*, 3-32. New York: Basic Books Inc.
 - Geertz, Clifford. 1973. "Deep Play: Notes on a Balinese Cockfight" In *The Interpretation of Cultures*, 412-455. New York: Basic Books Inc.
- Wilkinson, Cai. 2013. "Ethnographic Methods." In *Critical Approaches to Security: An Introduction to Theories and Methods*, 129–45. New York: Routledge.

Recommended:

- Baldwin, Kate and John Huber. 2010. "Cultural vs. Economic Differences: Forms of ethnic diversity and public goods provision" *American Political Science Review* 104.4 (Dec 2010): 644-662. 2010.
- Coppedge, Michael. 2012. *Democratization and Research Methods*. Cambridge: Cambridge University Press, Chapter 8, "Political culture and survey research."
- Fisman and Miguel, "Corruption, Norms, and Legal Enforcement: Evidence from UN Diplomatic Parking Tickets," *Journal of Political Economy*, 2007.
- Almond, Gabriel A. and Sidney Verba, *The Civic Culture: Political Attitudes and Democracy in Five Nations*. (Newbury Park, CA: Sage, 1989).
- Almond, Gabriel E. "The Intellectual History of the Civic Culture Concept," in G.A. Almond and Sidney Verba, eds., *The Civic Culture Revisited* (Boston: Little, Brown & Co., 1980), Chapter 1.
- Guiso, Luigi, et al. "Does Culture Affect Economic Outcomes?" *Journal of Economic Perspectives* 20, no. 2 (2006): 23–48.
- Habyarimana, James, Macartan Humphreys, Daniel Posner, and Jeremy Weinstein. 2007. "Why Does Ethnic Diversity Undermine Public Goods Provision? An Experimental Approach", *American Political Science Review* 101(4), 709- 725.
- Inglehart, Ronald and Christopher Welzel. 2003. 'Political culture and democracy: Analyzing cross- level linkages.' *Comparative Politics* 36 (1): 61.

- Inglehart, Ronald and Christopher Welzel. 2005. *Modernization, Cultural Change, and Democracy: The Human Development Sequence*. New York: Cambridge University Press.
- Inglehart, Ronald, "Modernization, Cultural Change and Persistence of Traditional Values," in the Democracy Sourcebook, edited by Robert Dahl, Ian Shapiro, and Jose Antonio Cheibub, (Cambridge: MIT, 2003).
- Kasara, Kimuli. 2007. "Tax Me If You Can: Ethnic Geography, Democracy, and the Taxation of Agriculture in Africa." *American Political Science Review* 101 (1).
- Norris, Pippa and Ronald Inglehart. 2009. *Cosmopolitan Communications: Cultural Diversity in a Globalized World*. Cambridge University Press.
- Wilson, Richard W. 2000. "The Many Voices of Political Culture: Assessing Different Approaches," *World Politics*, 52(2): 246-273.

6. Collective action and mobilization

- Ostrom, Eleanor. *Governing the Commons: The Evolution of Institutions for Collective Action*. New York: Cambridge University Press, 1990, 1-28.
- Anderson, Benedict. *Imagined Communities: Reflections on the Origin and Spread of Nationalism*. Verso, 1991, 1-46.
- Tarrow, Sidney. *Power in Movement: Social Movements and Contentious Politics* (New York: Cambridge University Press, 2008, Chapter 1 (Chapter 5 also recommended).
- Scott, James C. 1985. *Weapons of the Weak* (New Haven: Yale University Press, 1985), pages 1-47.
- Granovetter, Mark S. 1988. "Threshold Models of Collective Behavior." *American Journal of Sociology* 83 (6):1420-1443.

Recommended:

- Ahlquist, John S. and Margaret Levi. 2013. *In the Interest of Others: Organizations & Social Activism*. Princeton University Press.
- Axelrod, Robert. 1984. *The Evolution of Cooperation*. New York: Basic Books.
- Jonathan and Piotr Swistak. 1997. "The Evolutionary Stability of Cooperation." *American Political Science Review*. 91(2): 290-307
- Blattman, Christopher. 2009. "From Violence to Voting: War and Political Participation in Uganda." *American Political Science Review* 103(2): 231- 47.
- Bowels, Samuel. 2011. "Economic incentives and social preferences: substitutes or complements?" *Journal of Economic Literature*.
- Chong, Dennis. 1991. *Collective Action and the Civil Rights Movement*. Chicago: University of Chicago Press.
- Habyarimana, James et al. 2007. "Why Does Ethnic Diversity Undermine Public Goods Provision?" *American Political Science Review*. 101(4). 709-25.
- Humphreys, Macartam and Jeremy Weinstein, 2008. "Who Fights? The Determinants of Participation in Civil War" *American Journal of Political Science*. Vol. 5, No.2: 436-455.
- Lake, David and Matthew Baum. 2001. "The Invisible Hand of Democracy: Political Control and the Provision of Public Services," *Comparative Political Studies* 34: 587-621.
- Lohmann, Susanne. 1994. "Dynamics of Informational Cascades: The Monday Demonstrations in Leipzig, East Germany, 1989-1991." *World Politics*. 47: 42-101.
- Miguel, Edward. 2004. "Tribe or Nation? Nation-Building and Public Goods in Kenya versus Tanzania," *World Politics* 56: 327-362.
- Olson, Mancur. *The Logic of Collective Action*. Cambridge: Harvard University Press, 1965, 1-52.

- Ostrom, Elinor. 1998. A Behavioral Approach to the Rational Choice Theory of Collective Action. *American Political Science Review* 92 (1):1-22.
- Scott, James. 1987. "Resistance without Protest and without Organization: Peasant Opposition to the Islamic Zakat and the Christian Tithe." *Comparative Studies in Society and History* 29,3 (July): 417-52.
- Siegel, David. 2009. "Social Networks and Collective Action." *American Journal of Political Science* 53 (1):122-38.
- Yashar, Deborah. *Contesting Citizenship in Latin America: The Rise of Indigenous Movements and the Postliberal Challenge*. Cambridge University Press, 2005.

7. Institutions

- Hall, Peter A. and Rosemary Taylor, "Political Science and the Three New Institutionalisms." *Political Studies* 44 (December 1996).
- Huntington, Samuel. *Political Order in Changing Societies*. 1968. New Haven and London: Yale University Press, pp. 1-59.
- Mahoney, James. 2000. "Path Dependence in Historical Sociology," *Theory and Society* 29 (2000): 507- 548.
- March, James G. and Johan P. Olsen, "The New Institutionalism: Organizational Factors in Political Life," *American Political Science Review*, 1984.
- Meyer, John W. and Brian Rowan, "Institutionalized Organizations: Formal Structure as Myth and Ceremony," *American Journal of Sociology*, 83:2 (1977): 340-63.
- Schmidt, Vivien A. 2010. "Taking Ideas and Discourse Seriously: Explaining Change through Discursive Institutionalism as the Fourth 'New Institutionalism'." *European Political Science Review* 2 (1):1-25.

Recommended:

- Banerjee, Abhijit and Lakshmi Iyer. 2005. "History Institutions and Economic Performance: The Legacy of Colonial Land Tenure Systems in India." *American Economic Review*, Vol. 95(4): 1190-1213
- Blyth, Mark. 2003. "Structures Do not Come with an Instruction Sheet: Interests, Ideas, and Progress in Political Science," *Perspectives on Politics*, 1(4):695-706.
- Carey, John M. 2000. "Parchment, Equilibria, and Institutions" *Comparative Political Studies* 33: 735-61
- Coatsworth, John. 2005. "Structures, Endowments and Institutions in the Economic History of Latin America," *Latin American Research Review*, 40:3.
- David, Paul A., "Clio and the Economics of QWERTY" (in *Economic History: A Necessary Though Not Sufficient Condition for an Economist*), *American Economic Review*, Vol. 75, No. 2, Papers and Proceedings of the Ninety- Seventh Annual Meeting of the American Economic Association. (May, 1985), pp. 332-337.
- Glaeser, Edward, Rafael La Porta, Florencio Lopez-de-Silanes, and Andrei Shleifer. 2004. "Do Institutions Cause Growth?" *Journal of Economic Growth*, September,
- Greif, Avner. 2006. *Institutions and the Path to the Modern Economy: Lessons from Medieval Trade*. Cambridge: Cambridge University Press, Chapter 6 & 7
- Immergut, Ellen. 1998. "The Theoretical Core of the New Institutionalism," *Politics & Society* 26(1): 5-34.
- March, James G. and Johan P. Olsen, "Retrospective Commentary on The New Institutionalism: Organizational Factors in Political Life." *American Political Science Review* 100, no. 4 (November 2006).
- Milgrom, Paul et al. 1990. "The Role of Institutions in the Revival of Trade: the Law Merchant, Private Judges and the Champagne Fairs," *Economics and Politics* 2 1-23.

- Moe, Terry M. 2005. "Power and Political Institutions." *Perspectives on Politics* 3 (2):215-33.
- North, Douglass C. 1990. *Institutions, Institutional Change, and Economic Performance*. Cambridge: Cambridge University Press.
- North, Douglass C. and Barry Weingast, "Constitutions and Credible Commitment: The Evolution of Institutions Governing Public Choice in Seventeenth Century England," *Journal of Economic History*, 49, 803- 832.
- Page, Scott. 2006. "Path Dependence." *Quarterly Journal of Political Science*. 1: 87-115.
- Pierson, Paul and Theda Skocpol. 2002. "Historical Institutionalism in Contemporary Political Science," in Ira Katznelson and Helen Milner, eds., *Political Science: State of the Discipline II, Centennial Edition* (NY: W.W. Norton, 2002),
- Rodrik, Dani, et al. "Institutions Rule: The Primacy of Institutions over Geography and Integration in Economic Development." *Journal of Economic Growth* 9 (2004): 131–65.
- Streeck, Wolfgang and Thelen, Kathleen. 2009. *Beyond Continuity: Institutional Change in Advanced Political Economies*. Oxford: Oxford University Press.
- Thelen, Kathleen and Sven Steinmo, eds. 1992. *Structuring Politics: Historical Institutionalism in Comparative Analysis*. New York: Cambridge University Press.
- Thelen, Kathleen. "Historical Institutionalism in Comparative Politics," *Annual Review of Political Science*, 2 (1999): 369-404.

8. Political regimes, democratization, and the politics of authoritarian rule

- Dahl, Robert A. 1971. *Polyarchy: Participation and Opposition*. New Haven: Yale University Press, pp. 1-32.
- Przeworski, Adam, Michael E. Alvarez, Jose Antonio Cheibub, and Fernando Limongi. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950- 1990*. New York: Cambridge University Press, pp. 1-54.
- Geddes, Barbara. 2007. "What Causes Democratization?" In Boix and Stokes, eds. *The Oxford Handbook of Comparative Politics*. New York: Oxford University Press.
- Carothers, Thomas. 2002. 'The End of the Transition Paradigm.' *Journal of Democracy* 13: 5–21.
- Gandhi, Jennifer. 2008. *Political Institutions under Dictatorship*. New York: Cambridge University Press, pp. xv-41.
- Diamond, Larry. 2002. 'Thinking about Hybrid Regimes.' *Journal of Democracy* 13: 21-40.
- Sen, Amartya. "Democracy as a Universal Value." *Journal of Democracy* 10.3 (1999) 3-17.

Recommended:

- Acemoglu, Daron, and James A. Robinson. 2006. *Economic Origins of Dictatorship and Democracy*. New York: Cambridge University Press.
- Armony, Ariel C., and Hector E. Schamis. 2005. 'Babel in Democratization Studies.' *Journal of Democracy* 16: 113- 28.
- Boix, Carles and Milan Svolik. 2013. "The Foundations of Limited Authoritarian Government: Institutions, Commitment, and Power-Sharing in Dictatorships." *Journal of Politics*.
- Bueno de Mesquita, Bruce, Alastair Smith, Randolph M. Siverson, and James D. Morrow. 2003. *The Logic of Political Survival*. Cambridge: MIT Press.
- Collier, David and Robert Adcock. 1999. 'Democracy and dichotomies: A pragmatic approach to choices about concepts.' *Annual Review of Political Science* 1: 537- 565.

- Elkins, Zachary. 2000. "Gradations of Democracy? Empirical tests of alternative conceptualizations." *American Journal Of Political Science* 44 (2): 293- 300.
- Gehlbach, Scott and Phil Keefer. 2011. "Investment without Democracy: Ruling-Party Institutionalization and Credible Commitment in Autocracies." *Journal of Comparative Economics*. 39(2):123-139.
- Held, David. 2006. *Models of Democracy*. 3rd Ed. Cambridge: Polity.
- Levitsky, Steven, and Lucan A. Way. 2002. 'The Rise of Competitive Authoritarianism.' *Journal of Democracy* 13: 51- 65.
- Linz, Juan. 2000. *Totalitarian and Authoritarian Regimes*. Boulder: Lynne Rienner.
- Magaloni, Beatriz. 2006. *Voting for Autocracy: Hegemonic Party Survival and Its Demise in Mexico*. Introduction (Chapter 1 also recommended).
- Olson, Mancur. 1993. "Dictatorship, Democracy and Development." *American Political Science Review* 87 (Sept.): 567-76.
- Way, Lucan A. "Authoritarian State Building and the Sources of Regime Competitiveness in the Fourth Wave," *World Politics* 57, 2 (January 2005):231- 261.
- Wintrobe, Robert. 2007. "Dictatorship: Analytical Approaches." In Carles Boix and Susan Stokes, eds. *Oxford Handbook of Comparative Politics*. New York: Oxford University Press: ch. 16.
- Zakaria, Fareed. 1997. 'The Rise of Illiberal Democracy.' *Foreign Affairs* 76: 22- 41.

9. Civil society and social capital

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Class 14:

Summary and review: please review your notes for the semester and come to class prepared to discuss what comparative politics is, what the key themes of comparative politics are, and how they intersect with the study of international affairs.