

# WCL BUSINESS LAW PROGRAM: NEW COMPLIANCE AND ETHICS CERTIFICATE PROGRAM

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# COMPLIANCE AND ETHICS CERTIFICATE

- ▶ The Business Law Program at American University Washington College of Law is pleased to announce an innovative Compliance and Ethics Certificate (CEC) program.
- ▶ The new program is one of the first in the country designed to provide WCL students targeted credentials to meet the exploding demand for compliance expertise, grounded in a deep understanding of the unique, novel, and complex ethical issues presented in the practice of business law.
- ▶ Students can choose either Corporate Compliance or Financial Institutions Compliance. Both clusters will provide students with the opportunity to pursue an integrated program of experiential learning through traditional and skills-based courses, externships, and business-related clinics within AUWCL's top ranked Clinical Program.

- ▶ Covering one of the fastest growing areas of business law, the Compliance and Ethics Certificate enables students with a special interest in business law to earn a certificate that provides a core competency and a deeper understanding of compliance laws and regulations.
- ▶ Students learn how to adopt and implement successful corporate compliance strategies as they acquire knowledge and skills in the legal, regulatory, and ethical framework undergirding compliance.
- ▶ Compliance, especially now, is a critical component of how companies, organizations, and institutions function. Due to the ever increasing complexity of laws and regulations, increased liability, and ethics concerns, compliance expertise is increasingly sought by law firms, corporations, and government agencies, as well as public interest, charitable, and other organizations.
- ▶ The Compliance and Ethics Certificate provides skills that are an integral part of business law practice, giving you a leg up on your career path.
- ▶ The certificate offers you the opportunity to choose from two tracks in dynamic areas of business law. You can focus on the curriculum best suited to your interests and career goals: the Corporate Compliance Certificate or the Financial Institutions Compliance Certificate.

## Corporate Compliance Certificate

This certificate provides you with a core competency and deeper understanding of corporate compliance laws and regulations, focusing on the relevant legal, regulatory, and ethical framework. You will also learn about compliance programs and strategies and will receive skills-based training.

## Financial Institutions Compliance Certificate

This certificate provides you with a core competency and deeper understanding of financial institutions compliance laws and regulations, focusing on the relevant legal, regulatory and ethical framework, including the regulation of financial institutions, risk management, special corporate governance, regulatory compliance, and ethical considerations. You will also learn about compliance programs and strategies and will receive skills-based training.

- ▶ From the news release: “This new component of AUWCL’s already-strong business law curriculum meets an ever-growing market demand for business law compliance skills and expertise,” noted Professor David Snyder, director of the Business Law Program. “The CEC will be grounded in teaching students the highest standards of business ethics, which are increasingly pursued and developed by law firms, corporations, government agencies, and other organizations.”
- ▶ The CEC is led by Gerard Comizio, Associate Director of WCL’s Business Law Program, former chair of the financial institutions practices at two leading international law firms and an alumnus of the OCC in the U.S. Department of the Treasury as well as the SEC. He is also the author of leading casebooks in banking law.
- ▶ Read more about our Compliance and Ethics Certificate program: <https://www.wcl.american.edu/impact/initiatives-programs/business/cec/>

## Intended Benefits of the CEC program

- ▶ **Jobs:** Makes WCL and its students more competitive in the marketplace (Georgetown, U Va GW Antonin Scalia, GMU, Catholic and William & Mary have established business/financial law certificate/concentration programs)
  - ▶ Meets exploding market (and student)demand for wide range of compliance skills and expertise
- ▶ Further enhances WCL's business law profile and focus
- ▶ From a strategic perspective continuing to build WCL's reputation in business law is especially important now that US News and World Report has started ranking business law programs
- ▶ Helps students structure a coherent and appropriate course of study for your 2L and 3L years: focus on business law while leaving ample space for other courses and pursuits



## Certificate Requirements

- ▶ 18 credits; 2 required courses
  - ▶ Business Associations and Business Law and Ethics
- ▶ Explore dynamic compliance areas:
  - ▶ Up to 7 credits in Business Law-related clinics
  - ▶ Up to 3 credits in externships related to corporate or financial institutions compliance
- ▶ Range of elective courses the student can choose from each track
- ▶ How to Apply: <https://www.wcl.american.edu/impact/initiatives-programs/business/cec/cec-program-application-2021-22/>

# COMPLIANCE & ETHICS CERTIFICATE REQUIREMENTS

**18 CREDITS TOTAL**

**2 REQUIRED COURSES**

**BUSINESS ASSOCIATIONS (4 CREDITS)**  
**BUSINESS LAW: COMPLIANCE & ETHICS (2 OR 3 CREDITS)**

CHOOSE 1 CLUSTER



## CORPORATE COMPLIANCE

Corporate Compliance and Ethics (LAW-795PR)

International Business and Human Rights (LAW-808)

Private Equity and Venture Capital (LAW-874)

Anti-Corruption Law (LAW-7950)

Deals (LAW-795DE)

Legal Drafting: Corporate (LAW849C)

Legal Drafting: Contracts (LAW-849A)

International Contracts and Sales (LAW-973)

Corporate Bankruptcy (LAW-625)

Corporate Governance (LAW-613B)

Securities Regulation (LAW-612)

\*The Community and Economic Development Clinic (LAW-756)

\*The Entrepreneurship Law Clinic (LAW-756)

\*Externships that are closely related to corporate compliance legal or regulatory matters.



## FINANCIAL INSTITUTIONS & COMPLIANCE

Securities Regulation (LAW-612)

Banking and Financial Institutions: US Regulation (LAW-724)

Banking and Financial Institutions: International Regulation (LAW-727)

Regulation of Derivatives (LAW-743)

Consumer Financial Services Law (LAW-709B)

International Business and Human Rights (LAW-808)

Business, Finance and Accounting for Lawyers (LAW-672)

Securities Regulation (LAW-612)

Virtual Currency (VC795-001)

Financial Crimes Compliance (LAW-795FC-001)

Legal Drafting: Corporate Asset Management (LAW-849C-E0001)

\*Externships related to financial services law compliance, legal or regulatory matters.

\*Students may use up to 7 clinical credits and 3 externship credits to satisfy the certificate requirements.